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PATENTS

IN THE U.S. PATENT AND TRADEMARK OFFICE

Application of: **Hembrough et al.**

Docket No. 05213-0296 (43170-266780)

Serial No. 10/086,176

Filed: **February 28, 2002**

For: **Compositions and Methods for Inhibiting Cellular Proliferation Comprising  
TFPI Fragments**

**RESPONSE TO NOTICE TO FILE MISSING PARTS - FILING DATE GRANTED**

Attention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:


Transmitted herewith are papers in the above-identified application.

- ☒ Formalities Letter - Notice to File Missing Parts of Application - Filing Date Granted.
- ☒ Declaration and Power of Attorney (3).
- ☒ An initial Computer Readable Form of the Sequence Listing
- ☒ The additional fee is calculated as shown below.
- ☒ Applicant claims small entity status.

FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				<b>\$370</b>		<b>\$740</b>
TOTAL CLAIMS	20 - 20 =	0	x9 =		x18 =	
INDEP. CLAIMS	3 - 3 =	0	x40 =		x80 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		+270 =	
<input checked="" type="checkbox"/> LATE-FILING SURCHARGE			+65 =	<b>65</b>	+130 =	
<input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE			+130 =		+130 =	
<input type="checkbox"/> OTHER:						
TOTAL				<b>\$435</b>	TOTAL	

- ☒ A checks in the amount of **\$435.00** is attached to cover the basic filing fee and late-filing surcharge.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 11-0855. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Assistant Commissioner for Patents,  
Washington, D.C. 20231, on **May 20, 2002**.

  
Jamie L. Greene - Reg. No. 32,467

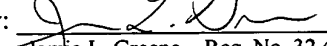
☒ Customer Bar Code Label:



**23370**

PATENT TRADEMARK OFFICE

**KILPATRICK STOCKTON LLP**  
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By:   
Jamie L. Greene - Reg. No. 32,467  
Attorney for Applicant



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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/086,176	02/28/2002	Hembrough Todd	05213-0296 (43170-266780)

23370  
JOHN S. PRATT, ESQ  
KILPATRICK STOCKTON, LLP  
1100 PEACHTREE STREET  
SUITE 2800  
ATLANTA, GA 30309

CONFIRMATION NO. 1241

FORMALITIES LETTER



\*OC000000007673060\*

Date Mailed: 03/19/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

05/31/2002 ZJUHA1 00000062 10086176

01 FC:201  
02 FC:205

370.00 DP  
65.00 DP

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

Y. G.  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Hembrough et al.** )  
Application No. **10/086,176** )  
Filed: **February 28, 2002** )  
For: **Compositions and Methods for Inhibiting** )  
**Cellular Proliferation Comprising TFPI** )  
**Fragments** )

RESPONSE TO NOTICE TO FILE MISSING PARTS

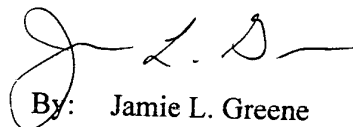
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

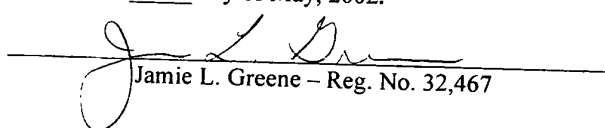
In response to the Notice to File Missing Parts which was mailed on March 19, 2002, Applicants submit herewith an initial paper copy and an initial Computer Readable Form of the Sequence Listing which comprises nucleotide and amino acid sequences contained in the application as originally filed. Pursuant to 37 C.F.R. § 1.821(e) and (f), the Sequence Listing contained in the paper copy as well as the CRF contains no new subject matter and the paper copy and the computer readable form are the same.

Respectfully submitted,

KILPATRICK STOCKTON LLP  
1100 Peachtree Street  
Suite 2800  
Atlanta, Georgia 30309  
(404) 815-6500  
Our Docket: 05213-0296 (43170-266780)

  
By: Jamie L. Greene  
Reg. No. 32,467

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231 on this 20 day of May, 2002.

  
Jamie L. Greene - Reg. No. 32,467